

Ethics and Lobbying, Independent Commission on

Mission

The mission of the New York State Commission on Ethics and Lobbying in Government is to promote public trust in government by ensuring compliance with the State's ethics and lobbying laws. The Ethics Commission Reform Act of 2022 established the Commission and grants broad oversight over the executive and legislative branches of government. The Commission serves its mission through three core functions, including: public disclosure, advice and guidance, and enforcement.

Organization and Staffing

The Commission consists of eleven members with staggered terms. Appointees are nominated by the Governor, Temporary President of the Senate, Senate Minority Leader, Assembly Speaker, Assembly Minority Leader, State Comptroller, and Attorney General. The nominees are reviewed by the Independent Review Committee, consisting of the 15 State-accredited law school deans or their designees. Commission members appoint an executive director who serves a four-year term and is responsible for carrying out the duties and responsibilities of the commission with a dedicated staff.

Budget Highlights

The FY 2024 Executive Budget recommends \$7.8 million in General Fund appropriations and a projected workforce of 68 FTEs. This continues the \$2 million included for the commission to increase staff to meet new requirements in the FY 2023 Enacted Budget.

Program Highlights

The Commission has jurisdiction over executive and legislative officials and employees, candidates for statewide and legislative elected office, political party chairs, and lobbyists and their clients. The commission requires all individuals and entities under its jurisdiction to take regular ethics and lobbying training, and administers the statutory filing program for lobbyists in the State. Additionally, the Commission reviews annual financial disclosure filings from statewide elected officials and legislative members for deficiency and conflicts.

ALL FUNDS APPROPRIATIONS (dollars)

Category	Available FY 2023	Appropriations Recommended FY 2024	Change From FY 2023	Reappropriations Recommended FY 2024
State Operations	7,881,231	7,787,000	(94,231)	0
Total	7,881,231	7,787,000	(94,231)	0

ALL FUND TYPES PROJECTED LEVELS OF EMPLOYMENT BY PROGRAM FILLED ANNUAL SALARIED POSITIONS

Program	FY 2023 Estimated FTEs 03/31/23	FY 2024 Estimated FTEs 03/31/24	FTE Change
Ethics and Lobbying			
General Fund	47	68	21
Total	47	68	21

NYS DOB | FY2024 Executive Budget | Agency Appropriations
STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY FUND TYPE
APPROPRIATIONS
(dollars)

Fund Type	Available FY 2023	Recommended FY 2024	Change
General Fund	7,881,231	7,787,000	(94,231)
Total	7,881,231	7,787,000	(94,231)
Adjustments:			
Transfer(s) From			
Special Pay Bill			
General Fund	(287,231)		
Appropriated FY 2023	7,594,000		

STATE OPERATIONS
ALL FUNDS FINANCIAL REQUIREMENTS BY PROGRAM
APPROPRIATIONS
(dollars)

Program	Available FY 2023	Recommended FY 2024	Change
Ethics and Lobbying			
General Fund	7,881,231	7,787,000	(94,231)
Total	7,881,231	7,787,000	(94,231)

STATE OPERATIONS - GENERAL FUND
SUMMARY OF PERSONAL SERVICE APPROPRIATIONS AND CHANGES
FY 2024 RECOMMENDED
(dollars)

Program	Total		Personal Service Regular (Annual Salaried)	
	Amount	Change	Amount	Change
Ethics and Lobbying	6,875,000	(94,231)	6,830,000	(94,231)
Total	6,875,000	(94,231)	6,830,000	(94,231)

Program	Temporary Service (Nonannual Salaried)		Holiday/Overtime Pay	
	Amount	Change	Amount	Change
Ethics and Lobbying	0	0	45,000	0
Total	0	0	45,000	0

STATE OPERATIONS - GENERAL FUND
SUMMARY OF NONPERSONAL SERVICE AND MAINTENANCE UNDISTRIBUTED
APPROPRIATIONS AND CHANGES
FY 2024 RECOMMENDED
(dollars)

Program	Total		Supplies and Materials	
	Amount	Change	Amount	Change
Ethics and Lobbying	912,000	0	80,000	0

NYS DOB | FY2024 Executive Budget | Agency Appropriations

Total	912,000	0	80,000	0
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Program	Travel		Contractual Services	
	Amount	Change	Amount	Change
Ethics and Lobbying	40,000	0	742,000	0
Total	40,000	0	742,000	0

Program	Equipment	
	Amount	Change
Ethics and Lobbying	50,000	0
Total	50,000	0

Note: Most recent estimates as of 02/01/2023